

Policy Category	Research and Institutional Development	
Policy Search Name	R - Anishinabek Education Research Sovereignty, Tri-Council Research Framework and Policy	
*Anishinabek Standard		
Place of Learning	N/A	
Educators	N/A	
Students	N/A	
Curriculum	D. Curriculum fosters a complementary relationship across knowledge derived from diverse knowledge systems.	
Approval Date & Board Motion Number	12/6/2022 Motion#097-2022	
Effective Date	12/6/2022	
Previous Versions  Date initially approved: None Date of last revision: N/A		

<sup>\*</sup>These are organizationally adapted standards from the World Indigenous Nations Higher Education Consortium (WINHEC), and the Alaska Comprehensive Centre/Alaska Native Education approved by the Kenjgewin Teg Board of Directors, 2015.

# 1.0 Background and Purpose

- 1.1 As an Anishinabek place of lifelong learning, knowledge preservation, interpretation and understanding are inherently embedded within Kenjgewin Teg's organizational philosophies.
- 1.2 Kenjgewin Teg recognizes the history of complexities in the ethical conduct of research involving Indigenous peoples in the preservation, interpretation, and understanding of knowledge.
- 1.3 In December 2021, Kenjgewin Teg successfully met the requirements of an organizational review prescribed by the Indigenous Advanced Education and Skills Council, the quality assurance body for Indigenous Institutes in Ontario. In meeting these benchmarks and standards, Kenjgewin Teg has advanced its credential-granting responsibilities, which include knowledge preservation, interpretation, and understanding.
- 1.4 Canada's three Agency Research Councils (Canadian Institute of Health Research, Social Sciences and Humanities Research Council, and Natural Sciences and Engineering Research Council of Canada) have implemented a Tri-Agency Framework for Responsible Conduct of Research and Tri-Council Policy Statement (TCPS) for the Ethical Conduct for Research Involving Humans for recipients of Agency research grants and awards.



1.6 In its determination, Kenjgewin Teg's activities of knowledge preservation, interpretation and understanding may include engagement and participation with the Tri-Agency definition of research.

#### 2.0 Definitions

"Agency" or "Agencies"	refers to one or more of the Canadian Institutes of Health Research, Natural Sciences and Engineering Research Council of Canada, and Social Sciences and Humanities Research Council
"CIHR"	an acronym referring to one of Canada's three research Agencies, the Canadian Institutes of Health Research
"NSERC"	an acronym referring to one of Canada's three research Agencies, the Natural Sciences and Engineering Research Council
"OCAP"	an acronym referring to the ownership, control, access and possession of data by First Nations
"SSHRC"	An acronym referring to one of Canada's three research Agencies, the Social Sciences and Humanities Research Council; it is predicted that Kenjgewin Teg's grant and/or award interests may primarily align with SSHRC
"Tri-Council"; or "Tri-Agency"	refers to Canada's three Research Councils also referred to as Agencies: the Canadian Institutes of Health Research, the Natural Sciences and Engineering Research Council of Canada, and the Social Sciences and Humanities Research Council
REB	an acronym referring to a research ethics board

## 3.0 Application

- 3.1 This policy applies to a local, regional, national, or international project(s) in which a research grant and/or award(s) has been provided by one or more of Canada's Tri-Council Agency(ies) to Kenjgewin Teg as an eligible recipient, and where an Agreement on the Administration of Agency Grants and Awards by Research Institutions with Kenjgewin Teg is in effect.
- 3.2 For further clarity, this policy application is narrow in scope to concurrently encourage and support Kenjgewin Teg's broadened participation and engagement in other and future funded knowledge preservation, interpretation and understanding opportunities with other Indigenous and non-Indigenous organizations, institutions, communities, groups and people; such Indigenous or non-Indigenous organizations, institutions, communities, groups may or may not have developed and implemented their own and/or similar research frameworks or policy statements as that of Canada's Tri-Council Agencies.



# 4.0 Policy

## **Policy Objective**

4.1 The objective of this policy is to assist Kenjgewin Teg in advancing its overall organizational mandate, strategic goals, and objectives, where participation and access to Tri-Agency-funded research grants and/or awards are desired in the preservation, interpretation, and understanding of knowledge for the benefit of future generations.

#### Tri-Council Framework - RCR

- 4.2 The CIHR, NSERC, the SSHRC and eligible Institutions that receive Agency funding require a commitment to foster and maintain an environment that supports and promotes the responsible conduct of research (RCR).
- 4.3 The Tri-Council RCR Framework, as amended from time to time, sets out the responsibilities and corresponding policies for researchers, Institutions, and the Tri-Agencies, that together help support and promote a positive research environment. The RCR Framework specifies the responsibilities of researchers concerning research integrity, applying for funding, financial management, and requirements for conducting certain types of research, and defines what constitutes a breach of Agency policies. Applicable to all grant and/or award recipient institutions, the RCR Framework details the minimum requirements for institutional policies for addressing allegations of all types of policy breaches and Institutions' responsibilities for promoting the responsible conduct of research and reporting to the Agencies as a grant and/or award recipient.
- 4.4 All researchers engaged in a project identified as meeting the scope of this policy will successfully affirm that they have received and acknowledge the contents of the RCR Framework before engaging in any research activities. Affirmation will be documented and retained by both Human Resources and the Vice President, Anishinaabe Aadziwin, or designate(s).
- 4.5 Where a Kenjgewin Teg framework or policy is not specific to or absent in supporting the management and integrity of research funds awarded by a Tri-Council Agency, the principles of the RCR Framework will be applied.

## Tri-Council Policy Statement 2 2018 (TCPS 2 2018)

- 4.6 TCPS 2 (2018) is a revised version of the Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans and is a joint policy of Canada's three federal research agencies CIHR, NSERC, and SSHRC. TCPS 2 (2018) expresses the Agencies' continuing commitment to the people of Canada to promote the ethical conduct of research involving humans.
- 4.7 To reflect the commitment of the Agencies to keep the TCPS current and responsive to the ethical issues that arise in research involving humans, the policy is amended from time to time.



As a condition of funding, the Agencies require that researchers and their institutions apply the ethical principles and the articles of the current Tri-Council Policy Statement that is in effect.

- 4.8 The online tutorial course TCPS 2: CORE-2022 of the Tri-Council Agencies of Canada consists of modules and knowledge consolidation requirements covering, but not limited to the scope of TCPS 2 (2018); risks and benefits; consent; fairness and equity; privacy and confidentiality; conflicts of interest; research ethics board review; and research involving Indigenous Peoples.
- 4.9 A researcher engaged in a project identified as meeting the scope of this policy will successfully complete the requirements of TCPS 2: CORE-2022, as amended, a course on research ethics designed and recommended by the Tri-Council Agencies. Before engaging in any research activities, evidence of successful completion will be documented and retained by both Human Resources and the Vice President, Anishinaabe Aadziwin.
- 4.10 Where a Kenjgewin Teg ethics framework or policy is not specific to or absent in supporting the management and integrity of research funds awarded by a Tri-Council Agency, the principles of TCPS 2 (2018), as amended, and recommended financial management and internal controls will be applied.

## **Anishinabek Research Sovereignty**

- 4.11 The First Nations principles of ownership, control, access, and possession (OCAP) assert that First Nations have control over data collection processes and own and control how this information can be used. The principles of OCAP will be used to support Kenjgewin Teg's research sovereignty, which includes the preservation, interpretation and understanding of knowledge.
- 4.12 TCPS 2 (2018) states in Chapter 9, Research Involving the First Nations, Inuit, and Metis Peoples of Canada, as part of the Tri-Council's Policy Statement on Ethical Conduct for Research Involving Humans that it is not intended to override or replace ethical guidance offered by Indigenous peoples themselves. Rather, its purpose is to ensure, to the extent possible, that research involving Indigenous peoples is premised on respectful relationships. It encourages collaboration and engagement between researchers and participants
- 4.13 Kenjgewin may create its own institutional Anishinabek education research framework(s) and regional ethics board(s)(REBs) as approved within its organizational governance body.
- 4.14 As an interim step to its own REB(s), Kenjgewin Teg may participate in an appropriate local or regional REB as determined and approved by Kenjgewin Teg's organizational governance structure.

## 5.0 Roles and Responsibilities

5.1 The President is responsible for the overall management and operation of Kenjgewin Teg. As a critical policy advancing the growth and development of Anishinabek education research at



Kenjgewin Teg, the President will actively assist and guide in this area, together with the Vice President of Anishinaabe Aadziwin.

- 5.2 The Vice President Anishinaabe Aadziwin is responsible for implementing this policy by creating research frameworks, ethics board(s), policies and protocols as appliable for approval by the designated Kenjgewin Teg governance structure.
- 5.3 If a position referred to in this policy is vacant, the policy will not be invalidated. Instead, the President shall designate the roles and responsibilities of that role to another team member(s) and will ensure that the Kenjgewin Teg staff and research community are informed of the designation(s) as appropriate.

#### 6.0 Evaluation Date - 12/6/2024

6.1 This policy will be reviewed bi-annually, or sooner if needed, to support the growth and development of Kenjgewin Teg in Indigenous/Anishinabek research.

Related Legislation, Policies, Procedures, Guidelines and Documents	<ul> <li>Anishinabek Education Research Policy Statement (2020)</li> <li>Data Sovereignty and Governance Policy Statement</li> </ul>	
Policies Superseded by this Policy	None	
The official version of this policy is housed in the Office of the President. In case of discrepancy between an online version and the official version held, the official version shall prevail.		